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PAGE 02/05

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

policant:

Schloesser, et al.

Docket No.:

INF-135

Filed:

2/13/2004

Examiner:

Andy Huynh

Serial No.:

10/777,128

Art Unit:

2818

Title:

Architecture for Vertical Transistor Cells and Transistor-Controlled Memory Cells

Mail Stop Issue Fee Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

#### INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Applicant wishes to bring to the attention of the U.S. Patent and Trademark Office the information noted on the enclosed PTO Form PTO/SB/08A, which may be considered material to the examination of the above-identified application. Copies of the U.S. Patents cited are not being submitted.

This Information Disclosure Statement is submitted under 37 C.F.R. §1.97(d) together with a Statement under 37 C.F.R. §1.97(e), and a \$180.00 fee set forth in 37 C.F.R. §1.17(p) as this disclosure is being filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee.

Please charge the required fee of \$180.00 and any additional amount, or credit any overpayment to Deposit Acct. No 50-1065 of the below mentioned firm.

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Respectfully submitted,

Attorney for Applicant

Reg. No. 35,272

Slater & Matsil, L.L.P. 17950 Preston Road. Suite 1000 Dallas, Texas 75252

Tel: (972) 732-1001 Fax: (972) 732-9218



# Slater & Matsil, L.L.P.

17950 Preston Road Dallas, Texas 75252-5793

Phone: 972-732-1001 Facsimile: 972-732-9218

#### FACSIMILE COVER SHEET

To: Examiner Andy Huynh

Total Pages Sent:

5

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(including cover sheet)

Facsimile Number: 571-273-2885

Transmission Date:

January 12, 2006

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Schloesser, et al.

Docket No.:

INF-135

Serial No:

10/777,128

Art Unit:

2818

Date Filed:

February 13, 2004

Title:

Architecture for Vertical Transistor Cells and Transistor-Controlled Memory

Cells

## CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that the following papers are being transmitted by facsimile to the U.S. Patent and Trademark Office at 571-273-2885 on the date shown above:

- Certification of Facsimile Transmission (1 page)
- Information Disclosure Statement (1 original and 1 copy = 2 pages)
- Statement Under 37 CFR 1.97(e) (1 page)
- Form PTO/SB/08A (1 page), with 2 references cited

Respectfully submitted.

Confirmation Respectfully Requested

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Andy Huynh

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner:

Group Art Unit:

2818

Inventor(s): Schloesser, et al.

Serial No.: 10/777,128

Filing Date: 2/13/2004

Title: Architecture for Vertical Transistor Cells and Transistor-Controlled Memory

Cells

Attorney Docket No.: INF-135

Mail Stop Issue Fee COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, VA 22313-1450

STATEMENT UNDER 37 CFR 1.97(e)

Sir:

The undersigned hereby certifies that either:

- (X) Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, not more than three months prior to the filing of the statement, or
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  - -- to the knowledge of the undersigned, after making reasonable inquiry, was known to an individual designated in 37 CFR 1.56 (c) more than three months prior to the filing of the Information Disclosure Statement.

Respectfully Submitted,

Schloesser, et al.

Вy

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Date of Deposit: 12.106

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Ira S. Matsil

Attorney/Agent for Applicant(s)

Reg. No.: 35,272

Date: January 12, 2006

Telephone No.: 972-732-1001

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PTO/SB/08a (08-03)
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			CLIDE	Application Number	10/777,128	
	INFORMATION I			Filing Date	2/13/2004	
STATEMENT BY APPLICANT (Use as many shoots os necessary)				First Named Inventor	Schloesser, et al.	
				Art Unit	2818	
				Examiner Name	Andy Huynh	
Shee	t 1	of	1	Attorney Docket Number	INF-135	

_			· U.S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number - Kind Code <sup>2 (Kinown)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	US-6,033.957	03-07-2000	Burns, Jr, et al.	
	2	US-2002/0109176 A1	08-15-2002	Forbes et al.	
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		FOREIG	N PATENT DOCU	MENTS		
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This collection of Information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and aubmitting the completed application form to the USPTO. Time will very depending upon the includual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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